IFW

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Numbe	r	10/709,49	88
TRANSMITTAL	- '	Filing Date		May 10, 2	004
FORM		First Named Invent	or	Heng-Chi	a CHANG
AND S		Art Unit		3662	
(to be used for all correspondence after	initial filing)	Examiner Name		Not yet as	ssigned.
Total Number of Pages in This Submiss		Attorney Docket Nu	ımber	62348.00	003
	ENCLO	SURES (check all tha	t apply)		
Fee Transmittal Form w/ duplicate [Total 2 pages]	☐ Drawing(s			After Al	lowance Communication to TC
Fee Attached	Licensing	-related Papers			Communication to Board eals and Interferences
Amendment / Reply	Petition				Communication to TC Notice, Brief, Reply Brief)
After Final		Convert to a all Application		Proprie	tary Information
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Addi	ess	Status I	_etter
Extension of Time Request	Terminal	Disclaimer			Enclosure(s) dentify below):
Express Abandonment Request		Request for Refund			
☐ Information Disclosure Statement pursuant to 37 C.F.R. §1.97(b) [Total 2 pages]	CD, Number of CD(s)				
PTO/SB/08a IDS by Applicant with copy of references 5 & 6. [Total 8 pages]	Remarks				
	NATURE OF A	APPLICANT, ATTOR	NEY OF	R AGENT	
Firm	Squire, San	ders & Dempsey L.L. Way, Palo Alto, CA	P.		
Signature			<u></u>	7	
Printed Name	Aaron Winin	ger	V		
Date	December 2	8, 2004	Reg. No.	45,299)
	CERTIFICA	TE OF TRANSMISS	ION/MAI	LING	
I hereby certify that this corresponder Service with sufficient postage as first P.O. Box 1450, Alexandria, VA 22313-	class mail in an	envelope addressed to			
Signature	1.9. 16	Meszua			
Typed or printed name Cathi L.C	6. Thoorsell			Date	December 28, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TO A NOMETAL		Complete if Known
STEE TRANSMITTAL	Application Number	10/709,498
পু্রor FY 2005	Filing Date	December 28, 2004
JAN Effectiv 2000 1/3004. Patent fees are subject to annual revision.	First Named Inventor	Heng-Chia CHANG
)G	Examiner Name	Not yet assigned.
Applicant mms small entity status. See 37 CFR 1.27	Art Unit	3662
TOTOE MANDOUNT OF PAYMENT (\$) 0	Attorney Docket No.	10/709,498

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)								
☐ Check ☐ C	redit card	□ None	3. ADDITIONAL FEES					
Clieck L Cl	Order	□ None	Large	Entity	Small E	ntity		
☑ Deposit Accou	nt:		Fee	Fee	Fee	Fce		
Deposit	-		Code	(\$)	Code	(\$)	Fee Description Fee	Paid
Account	05-0150		1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Squire, Sanders & Dempsey		1053	130	1053	130	Non-English specification	
Name	Squire, Sanders & Dempsey	L. L. F.	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	thorized to: (check all that apply)	payments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge any add ☐ Charge fee(s) in	litional fee(s) or any underpayment andicated below, except for the filing	of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identi	fied deposit account.		1251	110	2251	55	Extension for reply within first month	
	FEE CALCULATION		1252	430	2252	215	Extension for reply within second month	
1. BASIC _I FI	LING FEE		1253	980	2253	490	Extension for reply within third month	
	Small Entity		1254	1,530	2254	765	Extension for reply within fourth month	
	ee Fee <u>Fee Description</u> ode (\$)	Fee Paid	1255	2,080	2255	1,040	Extension for reply within fifth month	
	001 395 Utility filing fee	Teerald	1401	340	2401	170	Notice of Appeal	
	002 175 Design filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
1003 550 2	003 275 Plant filing fee		1403	300	2403	150	Request for oral hearing	
1004 790 2	004 395 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional filling fee		1452	110	2452	55	Petition to revive - unavoidable	
•	CURTOTAL (4)	(e) o	1453	1,370	2453	685	Petition to revive - unintentional	
	SUBTOTAL (1)	(\$) 0	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLA	M FEES FOR UTILITY AND R	EISSUE	1502	490	2502	245	Design issue fee	
	Fee fro		1503	660	2503	330	Plant issue fee	
Total Claims	Extra Claims below	Fee Paid	1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	- " = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Small Entity Fee Fee		1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code (\$) Fee Description		1810	790	2810	395	For each additional invention to be	
1202 18	2202 9 Claims in excess of		l				examined (37 CFR § 1.129(b))	
1201 88 1203 300	2201 44 Independent claims 2203 150 Multiple dependent	•	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 88	** Reissue indepen		1802	900	1802	900	Request for expedited examination	\vdash
	original patent	n excess of 20 and	1,002	300	1002	500	of a design application	
1205 18	2205 9 Reissue daints in over original patent		Other fe	e (speci	fy)			
	SUBTOTAL (2)	(\$) 0	*Reduc	ed by Ba	asic Filinç	Fee Pa		
**or number previo	usly paid, if greater, For Reissues, see	above					SUBTOTAL (3) (\$) 0	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Aaron Wininger	Registration No. (Attorney/Agent)	45,229	Telephone	(650) 856-6500		
Signature			7	Date	December 28, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: 62348.00003

TED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Heng-Chia CHANG

Examiner:

Not yet assigned.

Serial No.:

10/709,498

Art Unit:

3662

Filed:

May 10, 2004

Title:

UWB PULSE GENERATOR AND UWB PULSE

GENERATION METHOD

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97(b)

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each non-patent literature document cited reference is submitted herewith. Pursuant to the PTO's waiver of the requirements under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent/patent application for new applications filed after June 30, 2003, we are not submitting copies of the U.S. patents cited.

The present Information Disclosure Statement is being filed more than three months after the filing date but before receiving the first Office Action. Accordingly, no fee or certification is needed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or

Attorney Docket No.: 62348.00003

otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. <u>05-0150</u>.

Date: 12 /28/04

Respectfully submitted,

Squire, Sanders & Dempsey LLP 600 Hansen Way

Palo Alto, CA 94304-1043

Tel: (650) 856-6500 Fax: (650) 843-8777

Aaron Wininger

Attorney for Applicant(s) Registration No.: 45,229

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Pate: Dec. Lo 1004 By: Lath

Cathi L.G. Thoorsell

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Pade work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute (BANE)49A/PTO Complete if Known 10/709,498 Application Number INFORMATION DISCLOSURE Filing Date May 10, 2004 STATEMENT BY APPLICANT Heng-Chia CHANG First Named Inventor Art Unit 3662 (Use as many sheets as necessary) Examiner Name Not yet assigned. 62348.00003 Sheet 2 Attorney Docket Number

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			
	1	US- 4, 813,057	03-14-1989	Larry W. FULLERTON				
	2	US- 4,641,317	02-03-1987	Larry W. FULLERTON				
	3	US- 4,979,186	12-18-1990	Larry W. FULLERTON				
	4	US-5,363,108	11-08-1994	Larry W. FULLERTON				

	FOREIGN PATENT DOCUMENTS									
Fuerriner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant					
Examiner Initials*	· No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶				
						,				
				-						
	ł					l				

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

	Substitute	for form 1449	B/PTO	 		Complete if Known	
	INIEO	DRAATI	ON DI		Application Number	10/709,498	
			-	SCLOSURE	Filing Date	May 10, 2004	
	STAT	EMEN	TBY	APPLICANT	First Named Inventor	Heng-Chia CHANG	
					Art Unit	3662	
		(Use as ma	ny sheets a	is necessary)	Examiner Name	Not yet assigned.	
$\overline{}$	Sheet	2	of	2	Attorney Docket Number	62348.00003	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	5	HAN, J., et al., "A New Ultra-Wideband, Ultra-Short Monocycle Pulse Generator with Reduced Ringing," <u>IEEE Microwave and Wireless Components Letters</u> , June 2002, Vol. 12, Issue 6, pp. 206-208.	
	6	LEE, J.S. et al., "Novel Low-Cost Ultra-Wideband, Ultra-Short-Pulse Transmitter with MESFET Impulse-Shaping Circuitry For Reduced Distortion and Improved Pulse Repetition Rate," <u>IEEE Microwave and Wireless Components Letters</u> , Vol. 11, Issue 5, pp. 208-210, May 2001.	
- **			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.